

## Regulatory Enforcement Policy Review 2023 – Summary of Proposed Changes

Changes made to the Policy as a result of the review are summarised below:

Type	Description	Reason
Update	<p>The existing Regulatory Enforcement Policy has been entirely refreshed. While the presentation of the content is new, the strategic direction remains largely unchanged.</p> <p>The streamlined content reduces the existing 21-page Policy to 11 pages. This policy approach focuses on clearly setting out the overarching direction for Council's regulatory enforcement activities as is required to be established in a Council Policy level document, while removing extended discussion of administrative processes, and supplementary information on legislation and court proceedings.</p> <p>Administrative and role-specific processes will continue to be separately addressed by supporting internal standard operating procedure documents.</p>	<p>The revised approach to the Regulatory Enforcement Policy will align City of Parramatta with other researched councils, and gives consideration to the NSW Ombudsman's best-practice Model Compliance and Enforcement Policy.</p> <p>The refreshed policy more-clearly communicates Council's direction for taking enforcement action, and is drafted to ensure this presiding direction will be consistently applicable across the range of Council's enforcement activities.</p>
Update	Presented content using current Policy Template branding	To ensure consistent formatting across Council's policy portfolio
Update	Reviewed phrasing and references	To improve clarity, accuracy, and to ensure consistency with applicable Council and legal standards.
Addition	Clause 3.3: Added diagram of responsive regulation model (escalation matrix), to be employed to guide the level of Council's regulatory response to identified breaches.	<p>To ensure that Council's regulatory response to identified breaches will be consistent and proportionate to the level of risk and seriousness.</p> <p>Provides a transparent understanding for stakeholders.</p> <p>Selected responsive regulation model aligns with practices of other researched councils, to ensure Council implements a best-practice approach.</p>